# IMPERIAL

# Pivoting synthetic chemistry towards a dataled subject

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the branch of science concerned with the substances of which

matter is composed, the investigation of their properties and

reactions, and the use of such reactions to form new substances.



"AI, digital twins, robotics, and lab automation are accelerating research productivity, improving operational efficiency, and enhancing safety. AI, machine learning (ML) and digitization of research are enabling high-throughput research, allowing scientists to build on past efforts efficiently, identify promising ideas, and forecast product performance."

#### The Scientific Method

- Acquiring knowledge through observation and experimentation, rather than guessing and authority (Aristotelian).



Francis Bacon, 1617-1621



Novum Organum (1620)





Galileo Before the Holy Office in the Vatican Joseph-Nicolas Robert-Fleury

The DIKW Pyramid: Acquiring wisdom through data



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https://towardsdatascience.com/rootstrap-dikw-model-32cef9ae6dfb

# Data scarcity: Looming crisis for the development of AI



#### **High Throughput Experimentation**





#### **Reaction Kinetics & In-situ Studies**





#### **Automated Batch & Flow Reactors**

#### **Analysis of Reactions**









https://www.imperial.ac.uk/rapid-online-analysis-of-reactions









# Automation for the people: Training a new generation of chemists in data-driven synthesis

ROAR and other UK initiatives aim to democratize access to high-throughput equipment and industrial know-how

by Mark Peplow

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The 'classical' approach to synthesis



Optimise for product yield (single-objective) The 'data-centric' approach to synthesis



Optimise for product yield (single-objective)

Not necessarily compatible with a 'process-driven' approach...

- Optimised: Productivity, efficiency
- Reliable: Quality control
- Sustainable: Waste/energy management
- H&S: Hazard management
- Cost: Availability of feedstock, reagents



## The 'data-driven' approach



Temperature, pressure, time: 'continuous' variables.

To understand and quantify the relationships between the variables



#### 'Digital Chemistry' workflow



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#### Current state-of-art for 'data-rich experimentation'





Matt Takle (left) and Linden Schrecker (right)



#### **Collaborators:**

Klaus Hellgardt (ICL, Chemical Engineering) Christian Holze (BASF) Joachim Dickhaut (BASF) Andy Wieja (BASF)



EPSRC Centre for Doctoral Training in Next Generation Synthesis & Reaction Technology





#### **Enzymatic Kinetic Resolution (ChiPros®)**



1. lipase-catalyzed kinetic resolution

Hieber, G.; Ditrich, K. Introducing chipros: Biocatalytic production of chiral intermediates on a comme *Chim. Oggi* **2001**, *19*, 16-20.

(recycled)

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#### **Recycling of (unwanted) enantiomer (ChiPros®)**

3. Racemization of (unwanted) S-enantiomer



Alternative: chemoenzymatic kinetic resolution



Hieber, G.; Ditrich, K. Introducing chipros: Biocatalytic production of chiral intermediates on a comm scale. *Chim. Oggi* **2001**, *19*, 16-20.

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#### **CE-DKR: One vs two 'pots'**





A. N. Parvulescu, E. Van der Eycken, P. A. Jacobs, D. E. De Vos, J. Catal. 2008, 255, 206.





**Table 5** Dynamic kinetic resolution of  $(+/-)-\alpha$ -methylbenzylamine catalyzed by Novozyme 435, Pd/BaSO<sub>4</sub> and ammonium formate as green hydrogen source, under semi-continuous flow conditions

Entry	Reaction time (h)	2 (%)	ee (%)	
1	2.5	53	99	
2	4.5	64	97	
3	7	68	96	
4	8	76	96	
5	10	77	95	

de Miranda, A. S.; de Souza, R. O. M. A.; Miranda, L. S. M., RSC Adv. 2014, 4, 13620.

#### Catalyst deactivates thermally or poisoning by additives

#### **Selectivity issues**



# **Research topic area: Scalable CE-DKR of chiral amines**

#### **Observation/question:**

Lack of an effective amine racemization catalyst that is also compatible with enzyme-catalyzed kinetic resolution

#### Hypothesis:

- Compatibility issue: Compartmentalize the two catalysts
- Focus on amine racemization using heterogeneous catalysts
- Selectivity: Utilize residence time control to eradicate the need for additives





#### Development of the Flash Thermal Racemization (FTR) methodology: Catalyst screening



	8 9			10				11			
	Fe	Ru	Со	Rh	lr	Ni	Pd	Pd(OH) <sub>2</sub>	Pt	Cu	Au
Al <sub>2</sub> O <sub>3</sub>		89/86		100/96			51*/87		94/78		
TiO <sub>2</sub>	100/ 100		100/ 100	76*	95/78	100/ 97	25*/63		73/40	100/ 100	100/ 100
С		72/57					39*/5	-			100/ 93

145 °C, H<sub>2</sub> (5 bar), 1 h. \*100 °C, H<sub>2</sub> (5 bar), 1 h

Image: ROAR

Parallel screening: [M] catalysts, (H<sub>2</sub> pressure), T

	8			9			10			11	
	Fe	Ru	Со	Rh	lr	Ni	Pd	Pd(OH) <sub>2</sub>	Pt	Cu	Au
$Al_2O_3$		100/95		99/99			5/23		28/68		
TiO <sub>2</sub>	100/ 100		100/ 100	90/95	100/ 92	100/9 5	7/15		100/76	100/ 100	100/ 94
С		100/98					1/9	31/38	14/30		100/ 90

M. J. Takle, PhD thesis, 2023

#### Development of the Flash Thermal Racemization (FTR) methodology: DoE Optimization



Three inputs ('factors'): Temperature (100-230 °C) Flow rate (1-7 mL min<sup>-1</sup>) Concentration (20.6-82.5 mM)

**Two outputs ('responses'):** e.e. (chiral HPLC) selectivity (GC)



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#### DoE optimization (3 factors, 2 responses)

#### Augmented Design (6 experiments)

19	9	145	6.4	51.6	23	70
20	9	135	5	51.6	28	73
21	9	110	5.8	51.6	100	88
22	9	150	2.4	51.6	8	61
23	9	125	1.7	51.6	19	72
24	9	115	3.2	51.6	90	79

#### Initial custom design (18 experiments)

Entry	Whole Plots	Temperature °C	Flow rate mL min <sup>-1</sup>	Concentration mM	e.e. <sup>[a]</sup> / %	Selectivity <sup>[b]</sup> %	
1	1	100	1	20.6	100	94	
2	1	165	4	20.6	10	48	
3	1	230	1	20.6	15	6	
4	2	100	7	20.6	100	94	
5	2	165	4	20.6	7	54	
6	2	230	7	20.6	8	40	
7	3	100	1	51.6	100	96	
8	3	165	4	51.6	10	65	
9	4	230	4	51.6	3	25	
10	4	165	7	51.6	37	77	
11	5	100	7	82.5	100	97	
12	5	230	1	82.5	8	16	
13	6	100	1	82.5	100	98	
14	6	230	7	82.5	5	12	
15	7	165	4	51.6	8	76	
16	7	230	7	51.6	3	56	
17	8	100	4	51.6	100	97	
18	8	165	1	51.6	9	44	



Validation (3 experiments)

25	10	125	5.5	51.6	90 (70)	100 (88)
26	10	165	4	51.6	5 (8)	65 (63)
27	10	200	2	51.6	<5 (0)	26 (36)

#### **DoE optimization**

#### Validation (3 experiments)



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#### **CE-DKR-FTR Reactor Design**





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#### **Comparison (batch-flow): 1-Phenylethylamine**



3.2 mmol scale, 10 h, 77% conversion, 95% ee de Miranda *et al, RSC Adv*. **2014**, *4*, 13620-13625.

```
STY = 14.5 μmol mL<sup>-1</sup> h<sup>-1</sup>
0.030 μmol μmol(Pd)<sup>-1</sup> mL<sup>-1</sup> h<sup>-1</sup>
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#### **Comparison (batch-flow) Rasagiline (treatment of Parkinson's Disease)**



Ma, G.; Xu, Z.; Zhang, P.; Liu, J.; Hao, X.; Ouyang, J.; Liang, P.; You, S.; Jia, X., Org. Process Res. Dev. 2014, 18, 1169-1174



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## The 'data-driven' approach



Temperature, pressure, time: 'continuous' variables.

To understand and quantify the relationships between the variables





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L. Schrecker, J. Dickhaut, C. Holtze, P. Staehle, M. Vranceanu, K. Hellgardt, K. K. Hii, *React. Chem. Eng.* 2023, 8, 41.

#### Development of the Flash Thermal Racemization (FTR) methodology: Interdependent reaction parameters







- The scientific method depends on experimentation fn observation.
- Chemistry needs to evolve and become much more data-led.
- Generation of accurate predictive models ('AI') can only be achieved with good quality data.
- Lab of the future: Fusion of automated 'autonomous' hardware and software: ELN, LIMS, connectivity.
- To understand complexity, chemists need to understand statistical tools.



CREATED 3Y VECTORPORTAL.COM

Extra slides



Image: Shutterstock

https://cen.acs.org/careers/employment/robots-kill-chemistry/97/i15

#### Transition from target-led to data-led synthesis





#### In the lab:

- Understand limitations of RBE
- Translate RBF → automated platforms
- Qualitative → quantitative
- Utilisation of resotion technologies (µwave, flow, electro/photo/mechano-chem)

At the computer:

- How to handle/interpret large arrount & different types of data
- Planning experiments (ELN, software)
- Reaction kinetes, modelling
- Statistical analysis/ML algorithms

Holistic and agile: finding the right solution as demanded by the chemistry.

# **Digital Chemistry: Challenges**



Problems with existing data: Exhibit #1: Limited substrate scope

$$-B(OH)_2 + Br - (Pd) \rightarrow (Pd)$$



# Br-



Moderate Yield

**Implied Yield** 

Negative Result (Often Not Reported)

Not Tested

Problems with existing data: Exhibit #2: Single timepoints results



#### Reported reaction time often influenced by other activities



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Problems with existing data: Exhibit #3: Method interpretation



#### **Example Procedure**

A dry 100 mL round bottom flask was purged with argon. The appropriate indole or NHheterocycle (10 mmol) was added to the round bottom with Cul (190 mg, 10 mol%) and  $K_3PO_4$  (5.5 g, 2.6 equiv). Then 2-bromopyridine (1.8 mL, 1.5 equiv) and DMEDA (0.22 mL, 20 mol%) was added in toluene (12.5 mL). The reaction was stirred at 110 °C for 24 h. The reaction was cooled to room temperature and filtered over a silica pad. The product was isolated by flash column chromatography, typically 20% -> 60% DCM/pentane w/ 1% TEA. In instances where N-pyridyl indole was difficult to separate from remaining bromopyridine the product was triturated or recrystallized from pentane or the reaction was run with 1.05-1.5 equivalents of indole relative to bromopyridine.

<sup>pg</sup>. Am. Chem. Soc., **2020**, DOI: 10.1021/jacs.0c03246

How Can We Improve Reaction Data?



#### **Interoperable procedures**





#### Case study: Reductive amination reactions



**Option 1**: (i) NaBH(OAc)<sub>3</sub>, AcOH; (ii) aqeuous workup. **Option 2**: (i) H<sub>2</sub>, [cat]<sub>het</sub>; (ii) (filter,) evaporate.

Literature (heterogeneous catalysis), chronological order:

- 1. Pd/C, MeOH-CHCl<sub>3</sub>, 'room temperature and pressure', 2 h, 96%: Xin *et al*, *Tetrahedron* **2008**, *64*, 11783.
- 2. Rh NP, EtOH, 30 bar, 80 °C, 12 h, 99%, Huang et al, RSC Adv. 2015, 5, 56936.
- 3. Co/N-doped C, toluene, 10 bar, rt  $\rightarrow$ 110 °C, 18 h, 99%, Mao et al, RSC Adv. 2016, 6, 94068.
- 4. Rh NP, glycerol/heptane, 40 bar, 80 °C, 3 h, 98% (3% imine), Serrano-Maldonado *et al*, *Eur. J. Inorg. Chem.* 2020, 2506.

 Table 1
 Co@NC-catalyzed reductive amination of benzaldehyde and aniline ove Co catalysts<sup>a</sup>

Table 1 Screening of t Co@NC(2.0 mol%) DH 10°C.18h,10bar H Yield<sup>b</sup> (%) Conversion<sup>b</sup> (%) Catalyst Entry Selectivity/%b Co@NC (600-2 h) 75 79 Co@NC (700-2 h) 88 84 Entry Catalyst "With commercial Pd/C catalyst," 3 Co@NC (800-2 h) 98 100 Rh@CN Co@NC (800-3 h) 98 1 96 benzaldehyde was reduced to Rh@CN 2 Co/C (800-2 h) 5 7 6 Rh@CN 3 toluene directly, and no N-IL/C (800-2 h) 6 Trace 0 Rh@CN 4 7 Co-IL/C 9 10 5 Rh@CN 8° benzylaniline was detected (Table Co@NC (800-2 h) 96 0 Rh@CN 6 94 0e Pd/C 100 7 Rh/C 1, entry 9). 32 Pd/C 8 18 <sup>a</sup> Reaction conditions: 1.0 mmol aniline; 1.5 mmol benzaldehyde; Co 9 Ru@CN 2.0 mol% added; toluene (2.0 mL); at 110 °C; 10 bar  $H_2$ ; 18 h. <sup>b</sup> Determined by GC. <sup>c</sup> Without  $H_2$ . <sup>d</sup> 3.0 mol% Pd (Pd/C) was added, 0 10 Rh@CN 75 25 0 0 H2 balloon. e Toluene was detected as product from benzaldehyde. In [1] Rh NP, EtOH, 3( case of lower yields, the imine was detected as the by-product. IL = [MCNIm]Cl, C = active carbon.

> [2] Co/N-doped C, toluene, 10 bar, rt →110 °C, 18 h Mao et al, *RSC Adv*. 2016, *6*, 94068

# Automated workflow (Batch reactions)



Reaction conditions: benzaldehyde 4.5 mmol (0.3 M), amine 9 mmol (0.6 M), 50 mg Pd/C, 15 mL methanol, 10 bar H<sub>2</sub>.



Optimization Sampling Reactor (OSR)

- 8 // reactors
- Independent T & P control
- Gas uptake measurement
- Autosampling under pressure

4 temperatures: 25, 40, 60, 70 °C Reactions monitored (GC) over 3 h



Paola Ferrini, ROAR, unpublished results.



Reaction conditions: benzaldehyde 4.5 mmol (0.3 M), amine 9 mmol (0.6 M), 50 mg Pd/C, 15 mL methanol, 10 bar H<sub>2</sub>.



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Paola Ferrini, ROAR, unpublished results.

# Automated HT workflow (in flow)









Marvin Alberts, MSci thesis

#### **Representative results**



Marvin Alberts, MSci thesis (202<sup>2</sup>/<sup>9/2024</sup>



# AT THE CUSP OF THE 5<sup>TH</sup> INDUSTRIAL REVOLUTION

Recent rapid adoption and application of artificial intelligence algorithms — triggered by <u>access to</u> <u>big data and better hardware-</u> <u>processing capabilities</u> — are changing the face of blue and white collar jobs.

